

Attorney Docket No.: 5693.P043

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Vijay Deshmukh

Serial No.: 10/798,990

Filing Date: March 12, 2004

For: Pre-Summarization And Analysis of  
Results Generated By An Agent

Examiner: Mofiz, Apu M

Art Unit: 2165

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on*  
January 3, 2007

(Date of Deposit)

Cynthia Mirelez

(Printed name)

*Cynthia Mirelez* 1/3/07

(Signature)

(Date)

INFORMATION DISCLOSURE STATEMENT AND NOTICE OF OTHER PENDING APPLICATION(S)

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Applicant would like to bring to the Examiner's attention the following co-pending patent application(s), which is/are assigned to the assignee of the present application, and which may be relevant to the present application:

01/08/2007 ZJUHR1 00000009 10798990

01 FC:1806

180.00 OP

Examiner's Initials	Co-Pending Patent Applications
1)	U.S. patent application no. 10/798,987, entitled, " Storage Resource Management Across Multiple Paths," filed on 03/12/2004, of Vijay Deshmukh et al.
2)	U.S. patent application no. 10/799,861, entitled, " ON THE FLY SUMMARIZATION OF FILE WALK DATA," filed on 03/12/2004, of Vijay Deshmukh et al.
3)	U.S. patent application no. 10/800,163, entitled, " Method and Apparatus For Representing File System Metadata Within A Database For Efficient Queries," filed on 03/12/2004, of Vijay Deshmukh et al.

Applicants note that an Office Action has been received in a related, copending application. In particular, an Office Action for U.S. Patent Application No. 10/800,163 was mailed on 09/28/2006. An Office Action for U.S. Patent Application No. 10/799,861 was mailed on 09/20/2006. An Office Action for U.S. Patent Application No. 10/798,987 was mailed on 09/27/2006. A copy of these Office Action is available through the Patent Application Information Retrieval (PAIR) system. If the Examiner so requests, Applicants will provide the Examiner with a copy of this Office Action.

**Applicants respectfully request that the above-listed items not be printed on the face of any patent which may issue from the present application.**

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

       37 C.F.R. §1.97(b).

  X   37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

  X   A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).


       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: January 3, 2007

  
\_\_\_\_\_  
Jordan M. Becker  
Reg. No. 39,602

Customer No. 48102  
12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

<b>Substitute for Form 1449/PTO</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/798,990
				Filing Date	03/12/2004
				First Named Inventor:	Vijay Deshmukh
				Art Unit	2165
				Examiner Name	Mofiz, Apu M.
Sheet	1	of	2	Attorney Docket Number	5693.P043

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
.		US-	6,052,724	4/18/2000	Willie et al.	
		US-	6,973,577	12/6/2005	Kouznetsov	
		US-	5,146,540	9/8/1992	Natarajan	
.		US-	2003/0046369	3/6/2003	Sim et al.	
		US-	2004/0098363	5/20/2004	Anglin et al.	
		US-	2006/0041656	2/23/2006	Li et al.	
.		US-	6,915,409	7/5/2005	Peterson	
		US-	2004/0196970 A1	10/7/2004	Cole	
		US-	2004/0122936 A1	6/24/2004	Mizelle et al.	
.		US-	2002/0175938 A1	11/28/2002	Hackworth	
		US-	2002/0147805	10/10/2002	Leshem et al.	
		US-	2004/0098383	5/20/2004	Tabellion et al.	
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<b>Substitute for Form 1449/PTO</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/798,990
				Filing Date	03/12/2004
				First Named Inventor:	Vijay Deshmukh
				Art Unit	2165
				Examiner Name	Mofiz, Apu M.
Sheet	2	of	2	Attorney Docket Number	5693.P043

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
.		US-	6,199,082 B1	3/6/2001	Ferrel et al.	
		US-	2002/0049782 A1	4/25/2002	Herzenberg et al.	
		US-	6,563,521 B1	5/13/2003	Pettunen, Cary D.	
.		US-	6,578,048 B1	6/10/2003	Mauldin, Michael L.	
		US-	2004/0133606	7/8/2004	Miloushev et al.	
		US-	2004/0143608 A1	7/22/2004	Nakano et al.	
.		US-	2004/0205143	10/14/2004	Uemura, Tetsuya	
		US-	2005/0086192 A1	4/21/2005	Kodama, Shoji	
		US-	2005/0102289	5/12/2005	Sonoda et al.	
.		US-	2005/0108474	5/19/2005	Zhang et al.	
		US-	7,013,323	3/14/2006	Thomas et al.	
		US-	7,054,927	5/30/2006	Ulrich et al.	
		US-	7,080,277 B2	7/18/2006	Anna et al.	
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.